

# Variation in TREK1 Gene Linked to Depression-Resistant Phenotype is Associated with Potentiated Neural Responses to Rewards in Humans

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**Abstract:** The TREK1 gene has been linked to a depression-resistant phenotype in rodents and antidepressant response in humans, but the neural mechanisms underlying these links are unclear. Because TREK1 is expressed in reward-related basal ganglia regions, it has been hypothesized that TREK1 genetic variation may be associated with anhedonic symptoms of depression. To investigate whether TREK1 genetic variation influences reward processing, we genotyped healthy individuals ( $n = 31$ ) who completed a monetary incentive delay task during functional magnetic resonance imaging (fMRI). Three genotypes previously linked to positive antidepressant response were associated with potentiated basal ganglia activity to gains, but did not influence responses to penalties or no change feedback. TREK1 genetic variations did not affect basal ganglia volume, and fMRI group differences were confirmed when accounting for self-report measures of anhedonia. In addition, the total number of “protective” TREK1 alleles was associated with stronger responses to gains in several other reward-related regions, including the dorsal anterior cingulate cortex, orbitofrontal cortex, and mesial prefrontal cortex. In control analyses, associations between basal ganglia responses to gains and functional polymorphisms in the dopamine transporter (*DAT1*) and catechol-O-methyltransferase (*COMT*) genes were also explored. Results revealed that TREK1 and *DAT/COMT* genotypes were independently related to basal ganglia responses to gains. These findings indicate that TREK1 genotypes are associated with individual differences in reward-related brain activity. Future studies in depressed samples should evaluate whether variation in neural responses to

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Additional Supporting Information may be found in the online version of this article.

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## INTRODUCTION

Counted in lives lost and socioeconomic costs, major depressive disorder (MDD) is a significant public health problem [Cassano and Fava, 2002]. A major goal of depression research is to determine how individual differences in genetic and neural systems contribute to MDD [Hasler et al., 2004; Tsankova et al., 2006]. The TREK1 gene, also called *KCNK2*, has been linked to a depression-resistant phenotype in rodents [Heurteaux et al., 2006] and antidepressant response in humans [Perlis et al., 2008b], but the neural mechanisms associated with these effects are unclear. This study adopted an imaging genomics approach [Hariri and Weinberger, 2003] to test a candidate hypothesis: that variation in TREK1 genotype is related to individual differences in reward-related brain activity.

TREK1 is a two-pore-domain background potassium channel involved in regulating the excitability and resting potential of neurons [Honore', 2007; Talley et al., 2001]. Notably, TREK1 knockout mice display a robust antidepressant phenotype [Heurteaux et al., 2006]. Relative to wild-type mice, TREK1 knockouts show reduced immobility in the forced swim and tail suspension tests, weaker evidence of learned helplessness and conditioned suppression of motility following electrical shocks, and decreased release of corticosterone after restraint stress [Heurteaux et al., 2006]. In light of these results and work suggesting that the effects of selective serotonin reuptake inhibitors may depend on TREK1 inhibition [Gordon and Hen, 2006; Tsai, 2008], a recent study examined associations between TREK1 genetic variation and antidepressant response in MDD outpatients [Perlis et al., 2008b]. The study reported that four TREK1 single nucleotide polymorphisms (SNPs) were associated with a positive response to antidepressants (A allele of rs10494996, G allele of rs12136349, C allele of rs2841608, G allele of rs2841616).

Despite these convergent findings, the neural processes linking TREK1 genetic variation to depression and antidepressant response are unknown. The distribution pattern of TREK1 raises the possibility that individual differences in reward processing might play an important role. Across humans and rodents, TREK1 is widely expressed throughout the brain with large concentrations in basal ganglia structures, especially the nucleus accumbens (NAcc), caudate, and putamen [Hervieu et al., 2001; Medhurst et al., 2001; Talley et al., 2001]. Given the importance of these structures to reward processing [Delgado, 2007; O'Doherty, 2004], it has been hypothesized that TREK1 may be associated with reduced motivation and anhedonia [Heurteaux et al., 2006], which refers to lack of reactivity to

pleasurable stimuli. The importance of this potential relationship is underscored by the fact that anhedonia is a cardinal symptom of MDD [American Psychiatric Association, 2000] and has been related to chronic illness and poor treatment outcome [McFarland et al., 2006; Moss and Cronkite, 1999; Spijker et al., 2001]. On the basis of this convergent evidence, we reasoned that TREK1 genetic variation might be linked to individual differences in reward processing, which could, in turn, influence antidepressant response.

To test the first component of this hypothesis, genetic information was collected from healthy individuals who participated in a functional magnetic resonance imaging (fMRI) experiment featuring a monetary incentive delay (MID) task that robustly recruits basal ganglia regions [Dillon et al., 2008; Knutson et al., 2001, 2003; Pizzagalli et al., 2009]. Primary analyses focused on relationships between the four TREK1 SNPs associated with antidepressant response [Perlis et al., 2008b] and basal ganglia responses to reward-predicting cues and gains. In addition, a hypothesis-generating, whole-brain regression was used to identify additional brain areas where responses to gains were positively correlated with the total number of "protective" TREK1 alleles possessed by individuals. We predicted that participants carrying TREK1 genotypes associated with better antidepressant response [Perlis et al., 2008b] would show stronger reward-related basal ganglia activity, and that the total number of protective TREK1 alleles would be correlated with responses in several components of the brain reward system, including the orbitofrontal cortex (OFC) and dorsal anterior cingulate cortex (dACC) [O'Doherty, 2004; Rushworth et al., 2007]. Finally, in light of recent work indicating that neural activity during reward processing tasks is sensitive to functional polymorphisms in dopamine transporter (*DAT1*) and catechol-*O*-methyltransferase (*COMT*) genes [Dreher et al., 2009; Forbes et al., 2009; Yacubian et al., 2007], control analyses examined whether effects of TREK1 were independent of *DAT1/COMT*.

## MATERIALS AND METHODS

### Participants

Thirty-seven individuals participated after providing informed written consent to a protocol approved by the local IRBs. Data from five participants were excluded due to excessive head movement (i.e., incremental or cumulative movement > 3.75 mm or degrees), leaving a sample

TABLE I. SNPs with *a priori* hypotheses

SNP	Position	Region	Polymorphism groups	HWE P-value
rs2841616	chr1:213240461	Promoter	GG ( $n = 9$ ), AA/AG ( $n = 22$ )	0.85
rs2841608	chr1:213257870	Intron	CC ( $n = 10$ ), AA/AC ( $n = 21$ )	1.00
rs12136349	chr1:213317850	Intron/promoter	GG ( $n = 21$ ), AG/AA ( $n = 10$ )	0.58
rs10494996	chr1:213486294	Downstream	AA/AG ( $n = 10$ ), GG ( $n = 21$ )	0.58

*Note.* HWE: Hardy–Weinberg Equilibrium. For each polymorphism group, the protective allele group is listed first. Position: the chromosomal and base pair location. Region: the region on the gene in which the SNP is located. Of note, rs2841608 and rs12136349 were imputed based upon rs2802643 and rs7528988, which have  $r^2$  of 1 with the imputed SNPs; accordingly, the listed HWE statistics are for the typed SNPs rs2802643 and rs7528988.

of 32 participants (16 females; mean age  $\pm$  SD: 21.68  $\pm$  3.35 years; education: 14.44  $\pm$  1.54 years; 72% Caucasian; 97% students). The fMRI session was part of a larger project that included a separate electrophysiological component. Previous publications from this project have focused on relationships between fMRI findings and electrophysiological data collected during a different probabilistic reward task [Santesso et al., 2008] and resting states [Wacker et al., 2009]. However, the genetic analyses described here have not been reported previously. DNA was obtained from 31 participants who were right-handed [Chapman and Chapman, 1987], met standard fMRI safety criteria, and reported no history of neurological conditions. To evaluate current and past psychopathology, the Structured Clinical Interview for the DSM-IV [SCID; First et al., 1995] was administered by trained, masters-level clinical interviewers. With the exception of one individual with a specific phobia, participants had no current Axis I psychopathology [First et al., 1995; see Supp. Info. for further detail].

To assess current depressive symptoms, mood, and affect, participants completed the Beck Depression Inventory [BDI-II; Beck et al., 1996], Mood and Anxiety Symptoms Questionnaire [MASQ; Watson et al., 1995], State-Trait Anxiety Inventory [STAI; Spielberger et al., 1970], and Positive and Negative Affect Schedule [PANAS; Watson et al., 1988]. Participants received \$60 for completing the session, and “earned” \$20–\$22 from the MID task.

### Genotyping

DNA obtained from saliva samples was purified, extracted, hydrated, and stored at  $-80^\circ\text{C}$  (Oragene, DNA Genotek; Ottawa, Ontario, Canada). SNP primers were designed using SpectroDESIGNER software (Sequenom; San Diego, CA). Following a polymerase chain reaction (PCR), an iPLEX mass EXTEND reaction was performed. A Mass ARRAY RT mass spectrometer (Sequenom) [Beutow et al., 2001] analyzed samples in an automated mode. After baseline correction and peak identification, Sequenom SPECTROTYPED software was used to analyze

resulting spectra. Every participant was successfully genotyped across TREK1, and concordance for duplicate DNA ( $n = 8$ ) was 100%. See Supp. Info. for additional information.

Analyses focused on the four TREK1 SNPs previously associated with antidepressant response [Perlis et al., 2008b], which were evaluated directly or imputed by tagging SNPs with  $r^2$  of 1 (Table I). SNPs analyzed did not deviate from Hardy–Weinberg Equilibrium,  $P > 0.05$  (Table I). The relative location of these four SNPs and the extent of linkage disequilibrium (LD) among them are depicted in Figure 1. Following Perlis et al. [2008a], two groups were formed for each SNP: heterozygotes were grouped with minor allele homozygotes to maximize

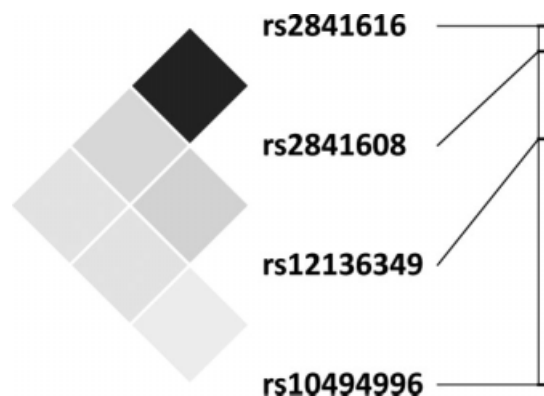


Figure 1.

Location of and linkage disequilibrium among TREK1 SNPs. The relative locations of TREK1 SNPs are presented on the right (graphic: chromosome 1, from top to bottom: 213.225–213.501 Mb). The LD plot (presented on the left) uses  $r^2$  as a measure of LD which ranges from 1 (or complete LD, indicated by black squares) to 0 (or absence of LD, indicated by white squares). In our sample, we observed high LD between rs2841608 and rs2841616 ( $r^2 = 0.80$ ) with low LD among all others ( $r^2 \leq 0.14$ ). Plotted using Haploview [Barrett et al., 2005].

power. For each SNP, the main comparisons of interest were between-group tests comparing individuals in the genotype group previously associated with a positive antidepressant response (i.e., the “protected” group: A allele carriers at rs10494996, G homozygotes at rs12136349 and rs2841616, C homozygotes at rs2841608) versus individuals in the other, “at-risk,” group.

In light of reports indicating that DAT and COMT genotypes can influence basal ganglia responses to rewards and reward-predicting cues [e.g., Dreher et al., 2009; Forbes et al., 2009; Yacubian et al., 2007], we evaluated whether significant TREK1 results might reflect overlap with these genotypes. The dopamine transporter is responsible for dopamine reuptake and is primarily concentrated in subcortical regions, including the ventral striatum [Ciliax et al., 1999]. The *DAT1* gene includes a variable nucleotide tandem repeat (VNTR) polymorphism in its 15th exon; the two most common varieties are the 9- and 10-repeat (9-R and 10-R) forms [Vandenberg et al., 1992]. Importantly, the 9-R allele is expressed at lower levels than the 10-R allele, which presumably yields increased levels of intrasynaptic dopamine in 9-R carriers due to lower levels of the dopamine transporter [VanNess et al., 2005]. In support of this hypothesis, multiple neuroimaging studies have found that 9-R carriers have a larger basal ganglia response to rewards and/or reward-predicting cues [Dreher et al., 2009; Forbes et al., 2009; but see Yacubian et al., 2007]. For the *DAT1* VNTR, PCR amplification and denaturation was followed by capillary gel electrophoresis. The current sample included eight 9-R carriers (9/10 heterozygotes), twenty-two 10-R homozygotes, and a single 10-R/11-R heterozygote. For analytic purposes, the 10-R homozygotes and 10-R/11-R heterozygote were combined into one group while 9-R carriers were placed in the other group.

COMT is an enzyme expressed primarily in cortex that is involved in the degradation of catecholamines, especially extracellular dopamine [Bilder et al., 2004; Matsumoto et al., 2003]. The COMT gene includes a functional polymorphism (rs4680) that codes for the substitution of valine (val; G allele) by methionine (met; A allele). Critically, the COMT val protein is more active than the COMT met protein [Weinshilboum et al., 1999], presumably leading to greater dopamine concentrations in met/met homozygotes versus val/val homozygotes. However, effects of COMT on subcortical dopamine transmission are complex, and it has been proposed that tonically increased cortical concentrations of dopamine observed in met/met individuals may actually reduce phasic dopamine bursting in subcortical regions [Bilder et al., 2004]. Limited neuroimaging work has examined the influence of the COMT val/met polymorphism on reward-related brain activity. A recent study found no effects of COMT genotype on reward responses in the ventral striatum [Forbes et al., 2009]. However, two other fMRI studies found that met/met individuals had relatively increased activity in subcortical brain regions implicated in reward processing,

including the ventral striatum and midbrain, compared to val/val individuals [Dreher et al., 2009; Yacubian et al., 2007]. To further evaluate the effects of this genotype on reward processing, the COMT val/met polymorphism was genotyped using the same method employed for TREK1. For analytic purposes, we divided the sample into three COMT groups: val/val ( $n = 7$ ), val/met ( $n = 13$ ), and met/met ( $n = 9$ ).

### MID Task

The MID task was based on prior publications [Knutson et al., 2003] but modified to permit a fully balanced design [Dillon et al., 2008; Pizzagalli et al., 2009]. Trials began with one of three visual cues (1.5 s) signaling potential outcomes (reward: +\$; loss: -\$; no-incentive: 0\$). Following a jittered interstimulus interval (ISI: 3–7.5 s), a red square target stimulus was presented to which participants responded with a button press. After a second ISI (4.4–8.9 s), visual feedback (1.5 s) indicated whether money had been won (gain) or lost (penalty). No gains or penalties were delivered on no-incentive trials. Trials were separated by an inter-trial-interval (ITI: 3–12 s). Trial randomization and ISI/ITI durations were selected using a genetic algorithm [Wager and Nichols, 2003] and optseq2 (<http://surfer.nmr.mgh.harvard.edu/optseq/>) to maximize statistical efficiency. Participants were told that faster reaction times (RTs) increased the probability of receiving gains and avoiding penalties. In fact, outcomes were presented in a fixed order such that 50% of reward and loss trials ended in gains (range: \$1.96–\$2.34; mean: \$2.15) and penalties (range: -\$1.81 to -\$2.19; mean: -\$2.00), respectively, with the remaining trials ending in “no change” feedback. Gains were larger than penalties to compensate for the fact that individuals typically assign greater weight to penalties than gains of equal size [Kahneman and Tversky, 1979]. No information concerning cumulative earnings was provided. To maximize feedback believability, target durations were individually titrated based on RT data collected during a practice block (Supp. Info.).

There were five blocks of 24 trials (8 trials/cue/block), yielding 40 presentations of each cue and 20 gain and penalty outcomes per participant. After blocks two and four, participants rated the cues and outcomes for valence (1 = most negative, 5 = most positive) and arousal (1 = lowest intensity, 5 = highest intensity).

### fMRI Data Acquisition

Gradient echo T2\*-weighted echoplanar images were acquired on a 1.5T Symphony/Sonata scanner (Siemens Medical Systems; Iselin, NJ), using tilted slice acquisition (30° to AC-PC line), z-shimming [Deichmann et al., 2003], and the following parameters: TR/TE: 2500/35 ms; FOV: 200 mm; voxel dimensions: 3.125 mm × 3.125 mm × 3

mm; 35 slices; 222 volumes. For localization purposes, a high-resolution T1-weighted MPRAGE volume was acquired (TR: 2730 ms; TE: 3.39 ms; FOV: 256 mm; voxel dimensions: 1 mm × 1 mm × 1.33 mm; 128 slices). Head movement was minimized with padding.

## Behavioral Data Analysis

### Demographics, DAT1/COMT distribution, and questionnaires

For each SNP, chi-squares and between-groups *t*-tests assessed possible differences between TREK1 groups in self-report measures and the distribution of *DAT1* and *COMT* genotypes.

### RT and ratings

Analysis of behavioral data was first conducted across the whole group to verify that the task worked as intended. After removal of outlier trials (log transformed RTs exceeding mean ± 3SD for a given cue), RTs were averaged and entered into an ANOVA with Cue (reward, loss, no-incentive) and Block as factors. Arousal and valence ratings were averaged across the two assessments and entered into ANOVAs with either Cue or Outcome (gain, penalty, no change feedback on no-incentive trials) as the within-subjects factor. To determine whether behavior was influenced by genetic variation, these ANOVAs were repeated after adding the factor Genotype.

## Neuroimaging Data Analysis

### Data preprocessing

fMRI data preprocessing included motion and slice-time correction, intensity normalization, removal of slow trends using a second-order polynomial, and spatial smoothing (Gaussian filter, 6 mm full-width half-max). A gamma function (2.5 s delay to rise, 1.25 s dispersion time) convolved with stimulus onsets modeled the hemodynamic response. A temporal whitening filter corrected for autocorrelation in the noise. Motion parameters were included in the model as nuisance regressors.

### fMRI analysis

Functional data were examined using the general linear model (GLM) in FS-FAST and FreeSurfer (<http://surfer.nmr.mgh.harvard.edu/>). Three main analyses were conducted. First, to verify that the task recruited reward-related circuitry, whole-brain analyses were conducted across the entire group, irrespective of genotype. GLM analyses were run on each individual and then with group data using a random effects model. For group analyses, each participant's data were resampled to 2 mm<sup>3</sup> voxels and registered to FreeSurfer's average template brain in

MNI305 space. The main contrasts of interest were (1) reward cues minus no-incentive cues, and (2) gains minus no-incentive feedback. Resulting statistical maps were thresholded using a voxelwise threshold of  $P < 0.001$ , and reported clusters were significant at  $P < 0.05$  following correction for multiple comparisons across the whole-brain using Gaussian Random Fields [Worsley and Friston, 1995]. MNI coordinates were transformed to Talairach coordinates [Brett et al., 2001].

Second, due to our *a priori* hypotheses, a region-of-interest (ROI) approach was used to investigate whether genetic variation was associated with basal ganglia reward responses. For each participant, FreeSurfer's automatic segmentation routine [Desikan et al., 2006; Fischl et al., 2002, 2004] defined the NAcc, caudate, putamen, and globus pallidus. Mean beta weights were extracted from these ROIs and entered into ANOVAs with Genotype, Cue or Outcome, Hemisphere, and Structure as factors. Tests that yielded significant Genotype differences were repeated for the *DAT1* (9-R carriers vs. 10/10s or 10/11) and *COMT* (val/val vs. met/met) genotypes to assess the possible influence of these variables. Given our *a priori* hypothesis, corrections for multiple comparisons were not applied during this analysis.

A third, exploratory analysis used a whole-brain regression approach to identify additional brain regions where responses to rewards were associated with TREK1. For this analysis, a single "protective allele count" was computed to provide a sensitive measure of individual differences in genetic variation. The ROI results generated little evidence for an association between TREK1 genotypes and responses to reward cues, but revealed that three SNPs were associated with responses to gains (rs10494996, rs2841608, rs2841616; see Results section). For these SNPs, possession of a protective allele was coded as 1, and possession of an at-risk allele was coded as 0. The total across all three SNPs was then summed. Thus, for each participant the protective allele count ranged from 0 (no protective alleles) to 6 (two protective alleles for each SNP). This score was regressed against whole-brain data from the gain versus no-incentive feedback contrast, allowing us to identify brain regions where responses to gains covaried with protective allele count. For this exploratory analysis, statistical maps were thresholded at  $P < 0.001$ , 12 voxel extent [Dillon et al., 2008].

### Morphometrical analyses

Analyses focused on the volumes of the NAcc, caudate, putamen, and globus pallidus, extracted from FreeSurfer and normalized by dividing by intracranial volume [Fischl et al., 2002]. Genotype × Hemisphere × Structure ANOVAs examined whether TREK1 genetic variations were associated with basal ganglia volume.

## RESULTS

### Entire Sample

Because the primary goal of this study was to evaluate the effects of TREK1 genetic variations on reward processing, findings from the entire sample are presented briefly and details are provided in the Supporting Information. Behavioral data were consistent with motivated responding to obtain incentives: RT was fastest on reward trials, intermediate on loss trials, and slowest on no-incentive trials (all  $P < 0.001$ ). Reward cues and gains elicited significantly more positive valence ratings than no-incentive cues and no change feedback on no-incentive trials ( $P < 0.04$ ), which in turn elicited significantly more positive ratings than loss cues and penalty feedback ( $P < 0.001$ ). By contrast, arousal ratings were lowest for no-incentive cues and no change feedback but did not differ between reward and loss cues ( $P = 0.72$ ), or gains and penalties ( $P = 0.36$ ). Most importantly, the reward cue minus no-incentive cue contrast revealed significant, large clusters in midbrain and bilateral basal ganglia (Supp. Info. Table I), and the gain minus no change feedback contrast revealed activity in several regions associated with reward processing (e.g., bilateral inferior frontal gyrus extending into OFC, caudate, dACC, midbrain; Supp. Info. Table II). These results confirm that the MID task elicited motivated responding and effectively recruited brain reward circuitry.

### Results by Genotype

#### Demographics, DAT1/COMT distribution, and questionnaires

There were no differences between the protected versus at-risk groups in ethnicity, age, gender, education, distribution of DAT1/COMT genotypes, or scores on the STAI or BDI-II for any SNP (Supp. Info. Tables III–VI). Furthermore, the PANAS and MASQ revealed no between-group differences for rs2841608, rs2841616, or rs12136349. However, the group possessing the protective allele (i.e., A carriers) of rs10494996 reported significantly lower scores on the MASQ Anhedonic Depression (AD) and PANAS trait Negative Affect scales (Supp. Info. Table III).

#### RT and ratings

TREK1 genotypes did not significantly affect RT (Supp. Info. Results). Similarly, with the exception of globally decreased cue-elicited arousal ratings in the group with the protective variant (i.e., GG homozygotes) of rs2841616 ( $2.65 \pm 0.60$ ) relative to A allele carriers ( $3.14 \pm 0.61$ ), genotypes did not affect subjective responses to cues or outcomes (Supp. Info. Results).

### Cue-elicited activity in basal ganglia ROIs

Analysis of beta weights extracted from basal ganglia ROIs provided little evidence for an association between TREK1 genotypes and responses to reward cues. For rs10494996, a Genotype  $\times$  Cue  $\times$  Hemisphere  $\times$  Structure (NAcc, caudate, putamen, globus pallidus) ANOVA revealed a significant Genotype  $\times$  Cue  $\times$  Hemisphere interaction,  $F(2, 58) = 6.25$ ,  $P = 0.006$ . Next, Genotype  $\times$  Cue ANOVAs were conducted separately in each hemisphere to determine whether effects of TREK1 genotypes on basal ganglia responses to cues were lateralized; however, these tests were nonsignificant (Genotype  $\times$  Cue interaction,  $P > 0.32$  in both hemispheres). Additional tests revealed that the triple interaction reflected a Cue  $\times$  Hemisphere effect restricted to individuals carrying the protective variant (A carriers) for this SNP,  $F(2, 18) = 6.77$ ,  $P = 0.019$  (at-risk group,  $P > 0.7$ ). Follow-up within-group,  $t$ -tests conducted in A carriers compared responses to no-incentive, loss, and reward cues in the left versus right hemispheres. The only significant difference was for reward cues,  $t(9) = 4.23$ ,  $P = 0.002$ , reflecting a stronger reward cue response in the left ( $0.091 \pm 0.09$ ) versus right ( $0.038 \pm 0.02$ ) hemisphere. For the other three SNPs, similar ANOVAs revealed no significant interactions involving Genotype and Cue (all  $P > 0.08$ ).

### Outcome-elicited activity in basal ganglia ROIs

Similar analyses conducted on beta weights from the gain, penalty, and no change (i.e., no-incentive) feedback conditions revealed that three of the four TREK1 SNPs previously linked to antidepressant response [Perlis et al., 2008b] were associated with variation in basal ganglia responses to gains. Genotype  $\times$  Outcome  $\times$  Hemisphere  $\times$  Structure ANOVAs yielded significant effects involving Genotype for rs10494996 (Genotype,  $F(1, 29) = 8.13$ ,  $P = 0.008$ ; Genotype  $\times$  Outcome,  $F(2, 58) = 3.10$ ,  $P = 0.053$ ), rs2841608 (Genotype  $\times$  Outcome,  $F(2, 58) = 6.10$ ,  $P = 0.004$ ), and rs2841616 (Genotype  $\times$  Outcome,  $F(2, 58) = 4.33$ ,  $P = 0.018$ ). For each of these SNPs, the Genotype  $\times$  Outcome interaction reflected stronger gain responses in individuals possessing the protective variant (see Fig. 2). By contrast, for rs12136349 all effects involving Genotype were nonsignificant ( $P > 0.54$ ).

For the three SNPs characterized by Genotype  $\times$  Outcome interactions, follow-up  $t$ -tests compared mean basal ganglia responses to gains, penalties, and no change feedback for the protected versus at-risk groups, averaged across hemispheres. The between-group comparison for gains was significant for rs10494996,  $t(29) = 2.71$ ,  $P = 0.02$ , and rs2841608,  $t(29) = 2.86$ ,  $P = 0.008$ , and marginal for rs2841616,  $t(29) = 1.88$ ,  $P = 0.07$ . In each case, these results reflected stronger responses to gains in the protected group (see Fig. 2). Highlighting the specificity of these findings, between-groups comparisons for penalties and no change feedback were not significant for any SNP ( $P >$

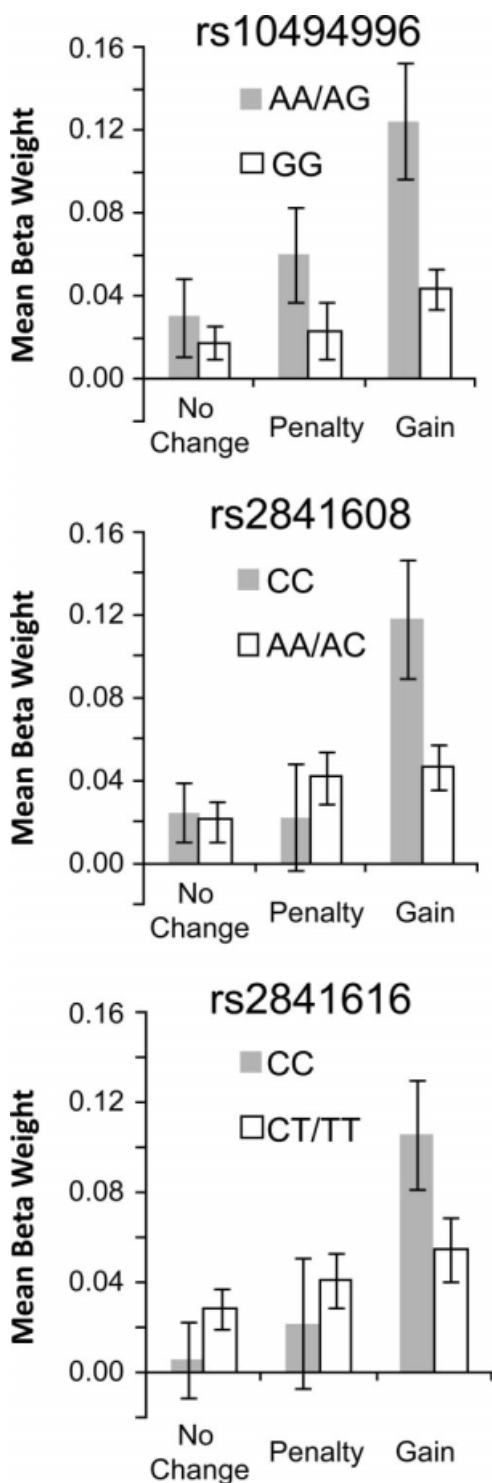
0.14). Furthermore, between-groups *t*-tests examining gain responses were not significant for either *DAT1* (9-R carriers vs. 10/10s and 10/11),  $t(29) = 1.36$ ,  $P = 0.21$ , or *COMT* (met/met vs. val/val),  $t(14) = 0.02$ ,  $P = 0.98$ , indi-

cating that the *TREK1* results did not simply reflect overlap with these other genotypes.

#### Analyses controlling for affect and ethnicity

Because homozygotes for the at-risk allele of rs10494996 reported elevated anhedonic symptoms (Supp. Info. Table III), a hierarchical regression examined whether rs10494996 group membership predicted basal ganglia responses to gains above and beyond MASQ AD scores. Mean basal ganglia response to gains was the criterion variable, and MASQ AD scores and rs10494996 group membership (0 = at-risk, 1 = protected) were entered in the first and second steps of the model, respectively, as predictors. The model was significant,  $F(2, 27) = 6.05$ ,  $P = 0.007$ ,  $R^2 = 0.31$ . Importantly, rs10494996 group predicted basal ganglia response to gains after controlling for MASQ AD scores, with possession of the protective allele associated with stronger gain responses ( $\Delta R^2 = 0.157$ ,  $\Delta F = 6.15$ ,  $P = 0.02$ ; rs10494996 group beta = 0.46,  $P = 0.02$ ). By contrast, MASQ AD score was not a significant predictor (beta = -0.16,  $P = 0.40$ ). Thus, differences in MASQ AD scores did not confound rs10494996 group differences in basal ganglia responses to gains.

Because of population stratification concerns, the ROI analyses examining responses to outcomes were repeated for Caucasian participants only ( $n = 22$ ). The protective groups for rs10494996, rs2841608, and rs2841616 again showed stronger basal ganglia responses to gains than the at-risk groups, although the critical between-group *t*-tests were no longer significant, probably due to reduced statistical power (Supp. Info. Fig. 1). Combined with the lack of differences in ethnicity for all four SNPs (Supp. Info. Tables III–VI), the similar pattern of results in Caucasians suggests that the main findings were probably not driven by the ethnic composition of the present sample. However, given variable allele frequencies reported across populations of different ancestral origin and nonsignificant results obtained when Caucasians were considered alone, these findings should be considered preliminary until replicated in a larger and more ethnically diverse sample.



**Figure 2.**

Mean basal ganglia responses to gains, penalties, and no change feedback. For the three SNPs depicted (rs10494996, rs2841608, rs2841616), gains elicited robust activation in individuals possessing two copies of an allele previously associated with antidepressant response (“protective variant”). Note that for rs10494996, individuals homozygous for this allele were grouped with heterozygotes to increase sample size in the protected group (AA homozygotes:  $n = 2$ ; AG heterozygotes:  $n = 8$ ; GG homozygotes:  $n = 21$ ).

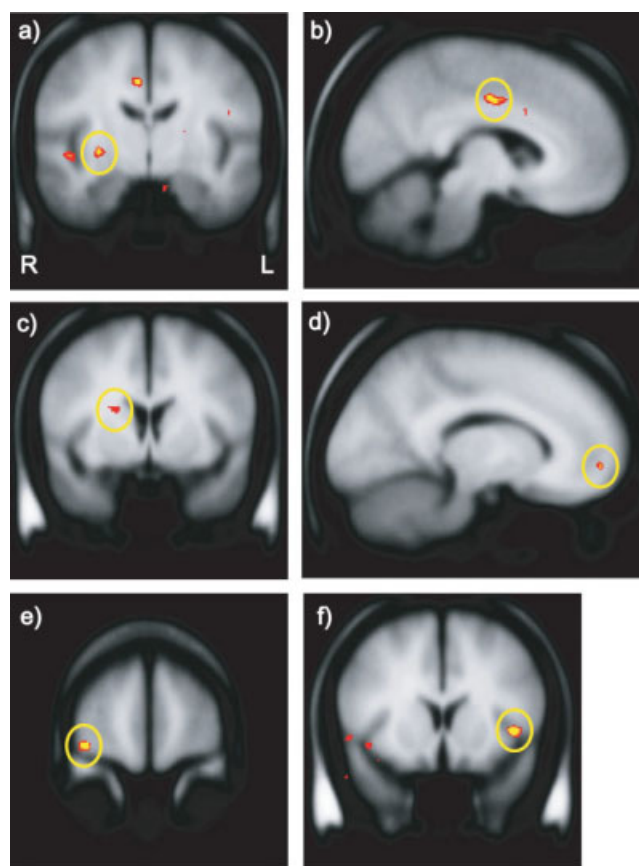
### Simultaneous regression with TREK1 and DAT1/COMT

Chi-square analyses revealed no evidence that individuals carrying at-risk versus protective alleles for TREK1 SNPs were more likely to carry particular *DAT1* or *COMT* alleles (Supp. Info. Tables III–VI), and between-group differences in basal ganglia gain responses were identified for three TREK1 alleles but not for *DAT1* or *COMT* genotypes. Nonetheless, we performed a third control analysis examining whether TREK1 and *DAT1/COMT* made separable contributions to basal ganglia reward responses. Dreher et al. [2009] reported a *DAT1/COMT* interaction such that 9-R met/met individuals showed the strongest responses to reward-predicting cues in the ventral striatum and lateral PFC, and to actual rewards in the mid-brain, lateral prefrontal cortex, and OFC. In light of these results, for each participant we coded each *DAT1* allele (10 or 11 = 0, 9 = 1) and *COMT* allele (val = 0, met = 1) and summed them, yielding a continuous *DAT1/COMT* score (possible range: 0–4; actual range: 0–3; mean  $\pm$  SD = 1.34  $\pm$  0.90). Next, the continuous measure of *DAT1/COMT* genotype, the TREK1 protective allele count, and the MASQ AD subscale were entered into a simultaneous regression predicting responses to gains minus no change feedback, averaged across the basal ganglia. The overall model was significant,  $F(3, 24) = 9.39$ ,  $P < 0.001$ ,  $R^2 = 0.54$ . Importantly, TREK1 protective allele count (beta = 0.456,  $P = 0.005$ ), *DAT1/COMT* total score (beta = 0.315,  $P = 0.042$ ), and MASQ AD scores (beta =  $-0.339$ ,  $P = 0.025$ ) were all significant predictors. The positive association between the *DAT1/COMT* score and basal ganglia response to gains (versus no change feedback) is consistent with the results reported by Dreher et al. [2009]. Importantly, however, when considered along with the non-significant chi-square results reported in Supporting Information Tables III–VI, the fact that both the TREK1 and *DAT1/COMT* continuous measures were significant predictors suggests that these genotypes make unique contributions to basal ganglia reward responses (see Supp. Info. Results for a *DAT1/COMT* analysis using a different approach).

### Whole-brain regression with protective allele count

In a hypothesis-generating analysis, we regressed TREK1 protective allele count (rs10494996, rs2841608, rs2841616) against whole-brain activity for the gain minus no change feedback contrast. The regression revealed several regions previously implicated in reward processing, including the caudate, putamen, dACC, and OFC (Fig. 3;  $P < 0.001$ , 12 voxel cluster extent threshold). A 10-voxel cluster was also significant at  $P < 0.001$  in the mesial PFC (Fig. 3d); the most significant voxel localized to the insula (Fig. 3f). A complete list is provided in Table II.

Finally, to cross-validate the different analytic approaches, Pearson correlations examined the relationship between TREK1 protective allele count and mean



**Figure 3.**

Neural regions emerging from the regression of protective allele count with whole-brain responses to gains versus no change feedback. Depicted are the (a) right putamen, (b) dACC, (c) right dorsal caudate, (d) mesial PFC, (e) right lateral OFC, and (f) left insula. Images are thresholded at  $P = 0.001$ . Additional regions emerging from this analysis are listed in Table II. [Color figure can be viewed in the online issue, which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com).]

basal ganglia responses to gains, penalties, and no change feedback. The correlation with gain responses was significant ( $r = 0.55$ ,  $P = 0.001$ ; Fig. 4), but correlations with responses to penalties ( $r = 0.04$ ,  $P = 0.82$ ) and no change feedback ( $r = 0.02$ ,  $P = 0.94$ ) were not. Critically, the correlation between TREK1 protective allele count and responses to gains was significantly higher than correlations with penalties ( $z = 2.41$ ,  $P = 0.008$ ) or no-change feedback ( $z = 2.55$ ,  $P = 0.005$ ) [Meng et al., 1992].

### Basal Ganglia Morphometry

TREK1 Genotype  $\times$  Hemisphere  $\times$  Structure ANOVAs revealed no significant effects or interactions involving *Genotype* (all  $P > 0.05$ ). Accordingly, functional differences



**TABLE II. Results of whole-brain analyses regressing protective allele count against neural response to gains (minus no change feedback)**

Location of peak voxel	<i>x</i>	<i>y</i>	<i>z</i>	Volume (mm <sup>3</sup> )	Peak voxel <i>P</i> -value
R putamen	30	-11	0	152	$3.90 \times 10^{-5}$
R caudate	20	6	15	104	$3.55 \times 10^{-4}$
R dorsal anterior cingulate cortex	6	-7	36	344	$4.30 \times 10^{-5}$
L isthmus of cingulate	-8	-53	11	104	$3.50 \times 10^{-5}$
R lateral orbital gyrus	36	55	-10	272	$3.00 \times 10^{-5}$
L mesial prefrontal cortex <sup>a</sup>	-12	53	-7	80	$8.10 \times 10^{-5}$
L insula	-40	13	0	216	$7.00 \times 10^{-6}$
L precentral gyrus	-46	-6	20	96	$6.30 \times 10^{-5}$
R superior temporal gyrus	61	-6	7	176	$3.10 \times 10^{-5}$
	46	-9	-2	464	$5.40 \times 10^{-5}$
R inferior parietal lobule	59	-25	26	112	$7.20 \times 10^{-5}$

Note. L = left, R = right. Coordinates are in Talairach space.

<sup>a</sup>Did not meet the extent threshold of 12 voxels (10 voxel cluster).

in basal ganglia activation were not confounded with group differences in volumes.

## DISCUSSION

This study yielded two primary findings. First, individuals possessing rs10494996, rs2841608, and rs2841616 TREK1 genotypes previously linked to better antidepressant response [Perlis et al., 2008b] showed stronger basal ganglia responses to gains relative to individuals in the at-risk groups. Second, a whole-brain regression revealed that a greater number of protective alleles across these SNPs was positively correlated with neural responses to gains in several regions of the reward network, including the right caudate and right putamen but extending to the mesial PFC, OFC, and dACC. There was no evidence that TREK1 genotype was associated with demographic variables, basal ganglia volume, or behavioral performance (i.e., RT) on reward trials, and minimal evidence for association with affective ratings in response to reward cues or gains. Furthermore, there was little evidence that TREK1 genetic variation influenced self-reported mood or neural responses to incentive cues, although the at-risk group for rs10494996 reported significantly higher anhedonic symptoms relative to protected participants. Importantly, control analyses conducted for this SNP revealed that group differences in basal ganglia gain responses remained after adjusting for anhedonic symptoms, indicating that genotype predicted unique variance in neural activity. Finally, additional analyses indicated that TREK1 and *DAT1/COMT* made independent contributions to basal ganglia gain responses, ruling out a possible genetic confound. In summary, variations in TREK1 SNPs were selectively associated with neural responses to gains in this psychiatrically healthy sample.

## Relevance to Depression

Although the sample was healthy, the focus on the TREK1 gene and reward processing highlights the study's relevance to depression. The initial report relating variations in TREK1 to antidepressant response [Perlis et al., 2008b] was motivated by the fact that approximately 50% of depressed individuals fail to respond to any single treatment, and many individuals fail to respond to any treatment [Hollon et al., 2002]. In addition to the problems associated with lingering depressive systems, cycling through multiple treatments in search of an adequate match is onerous for individuals and society. To address this problem, Perlis et al. [2008b] investigated whether four genes were related to antidepressant response in a large sample of MDD outpatients. Of the genes tested (TREK1, VMAT2, S100A10, and HDAC5), only TREK1

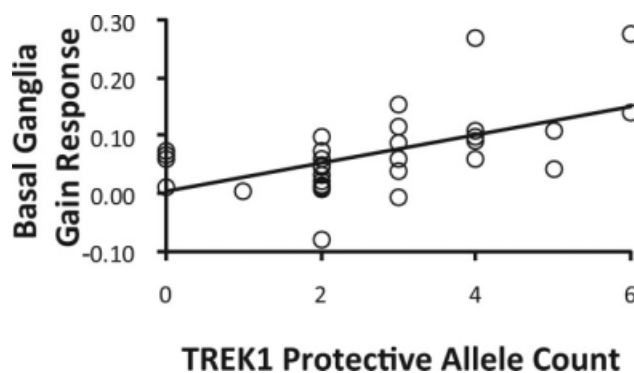


Figure 4.

Correlation between protective allele count and mean basal ganglia response to gains ( $r = 0.55$ ,  $P = 0.001$ ).

polymorphisms were associated with antidepressant response. However, the mechanism underlying this effect was not studied.

The current results suggest that certain TREK1 genotypes may promote remission from depression via their association with potentiated neural responses to gains, consistent with a hypothesis raised in a recent animal study [Heurteaux et al., 2006]. Individuals with protective genotypes for three SNPs showed significantly stronger basal ganglia responses to reward than individuals with at-risk genotypes. Notably, this result was specific to rewards and did not extend to penalty or no-change feedback. Furthermore, the key finding was obtained using two different analytical techniques (basal ganglia ROI analyses and whole-brain regressions) and was not confounded by behavioral or morphometrical differences. Also, the health of the sample implies that the findings were not influenced by possible confounding variables often present in studies with clinical samples (e.g., state-related mood effects, medication).

However, the composition of the sample also means that the relevance of these findings to depression must be considered tentative. This study establishes that genetic variation in TREK1 is associated with individual differences in neural response to reward; a critical next step will be to determine whether or not these differences mediate antidepressant response, as hypothesized. In addition, it would be valuable to follow individuals with variations in the TREK1 gene prospectively, as TREK1 SNPs may be linked to resilience in the face of stressors via their association with reward responses [Charney, 2004].

### Mechanism of TREK1 Effects

This study found that protective SNPs affected responses to gains more strongly than responses to reward-predicting cues, but this result may reflect the particular paradigm used rather than any property of TREK1. The task used a balanced design in which gains were presented on 50% of reward trials, reducing the predictive value of the reward cue relative to designs in which gains are delivered more frequently [Knutson et al., 2003]. This reduction in predictive value, along with the concomitant increase in the unpredictability of gains [Delgado, 2007], may have amplified neural responses to gains and weakened responses to cues, irrespective of TREK1 genotype.

The effects of TREK1 genotypes on reward were not restricted to a single neural region (see Fig. 3). Our analyses focused on the basal ganglia because it is critically implicated in reward processing and expresses TREK1 strongly across various species [Hervieu et al., 2001; Medhurst et al., 2001; Talley et al., 2001]. However, the exploratory whole-brain regression analysis revealed effects in other regions within the mesocorticolimbic reward network. Individuals with more protective alleles showed greater responses to gains in the mesial PFC, a

region implicated in processing rewarding outcomes [Knutson et al., 2003]. In addition, possession of protective alleles covaried with the strength of gain responses in the OFC, which is thought to code flexible representations of stimulus value [Holland and Gallagher, 2004; O'Doherty, 2004], and the dACC, a region critical for using reward history to guide adaptive action selection [Rushworth et al., 2007; Santesso et al., 2008].

It is not clear whether these findings reflect differences in TREK1 channels distributed throughout the brain or are instead an upstream consequence of variations in TREK1 in a more focal, downstream location (e.g., the midbrain). Also, initial animal work suggested that the antidepressant phenotype displayed by TREK1 knockouts was a consequence of increased firing rate of serotonergic neurons with cell bodies in the dorsal raphe nucleus [Gordon and Hen, 2006; Heurteaux et al., 2006]. The link between this mechanism and the present findings is currently unclear.

### Strengths, Limitations, and Future Directions

The current study features several strengths, notably the integration of genotyping and neuroimaging, the clear relevance of reward processing to depression, and the exploration of a gene (TREK1) that holds promise for improving prediction of antidepressant response. However, several limitations should be acknowledged. First, the study is limited by the fact that the sample size, while relatively large for a neuroimaging experiment, was somewhat small for genetic analyses and included only healthy participants. Future studies testing larger samples including both depressed and healthy individuals are needed. Additionally, given variable allele frequencies according to area of ancestral origin, further studies with large and ethnically diverse samples are needed to fully address potential population stratification concerns.

Second, the analyses reported here were focused on possible effects of TREK1 and were not designed to comprehensively analyze the influence of other genotypes that may influence reward processing. In particular, the analyses involving *DAT1* and *COMT* genotypes were conducted primarily as follow-ups to significant TREK1 results in an attempt to determine whether findings could be better explained in terms of *DAT1* and/or *COMT*. The results of the independent analysis of *DAT1* and *COMT* genotypes were partly consistent with the prior findings of Forbes et al. [2009], who examined ventral striatal reward responses and also found no effect of *COMT* genotype but reported a significantly stronger response in *DAT1* 9-R carriers versus 10-R homozygotes. There are several differences between the present study and the work of Forbes et al. [2009] that might account for the difference in *DAT1* effects, including the fact that Forbes and colleagues tested a much larger sample ( $n = 89$ ) and used a blocked design, which likely yielded substantially increased power to detect this type of effect. Notably, when *DAT1/COMT*

and TREK1 genotypes were considered together in a regression model following Dreher et al. [2009], we found that possession of an increasing number of (1) *DAT1* 9-R and COMT met alleles and (2) protective TREK1 alleles were both associated with a stronger basal ganglia response to gains. Accordingly, results should be interpreted as providing preliminary support for dissociable effects of TREK1 and *DAT1*/COMT on reward processing.

Third, because of the small sample size and the exploratory nature of this study, which is the first to investigate TREK1 genetic variations and reward processing in humans, a relatively large number of statistical tests were conducted and some results would not survive correction for multiple comparisons. Nonetheless, this study demonstrates a neural mechanism by which TREK1 may contribute to antidepressant response—namely, three protective alleles of TREK1 SNPs are associated with strong basal ganglia responses to rewards. This finding will permit more targeted approaches in future studies.

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